

15750 U.S. PTO  
08/04/03

EXPRESS MAIL LABEL NO:  
EL 895672964 US

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21909 U.S. PTO  
10/633907  
08/04/03

August 4, 2003

Attorney Docket No.: SYMC1035

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW PATENT APPLICATION TRANSMITTAL LETTER  
(37 C.F.R. §1.53(b))

Transmitted herewith for filing is an original (nonprovisional) patent application of:

**Inventor:** Peter Szor

**Entitled:** HOST-BASED DETECTION AND PREVENTION OF MALICIOUS CODE PROPAGATION

Enclosed are the following papers as required for a filing date under 37 C.F.R. §1.53(b):

40 Pages Description;  
5 Pages Claims;  
1 Page Abstract; and  
8 Drawing Sheets (formal).

Other papers enclosed include:

X Return Receipt Postcard;  
X Check for \$958.00 ;  
2 Pages Combined Declaration for Patent Application and Power of Attorney;

August 4, 2003

Page 2

New Patent Application Transmittal Letter  
 Attorney Docket No. SYMC1035

- 1 Page Recordation Form Cover Sheet;
- 1 Page Assignment of the invention to Symantec Corporation; and
- 1 Page Request and Certification Under 35 U.S.C. 122(b) (2) (B) (i).

| Fee Calculation  |              |                     |              |                            |           |
|--|--------------|---------------------|--------------|----------------------------|-----------|
| Claims   | Number Filed | Basic Fee Allowance | Number Extra | Rate                       | Basic Fee |
|  |              |                     |              |                            | \$750.00  |
| Total No. of Claims  | 20           | - 20 =              | 0            | X \$18.00                  | \$ 0.00   |
| No. of Independent Claims  | 5            | - 3 =               | 2            | X \$84.00                  | \$ 168.00 |
| Multiple Dependent Claims  |              |                     |              | \$280.00                   | 0.00      |
|  |              |                     |              | Total of above Calculation | \$918.00  |
| Reduction by 50% for filing by Small Entity (Enter 0.5 for small entity) |              |                     |              |                            | 1.0       |
|  |              |                     |              | Filing Fee                 | \$ 918.00 |

**Fee Payment**

Enclosed are:

|                            |                  |
|----------------------------|------------------|
| Filing Fee                 | \$ 918.00        |
| Assignment Recording Fee   | 40.00            |
| <b>Total Fees Enclosed</b> | <b>\$ 958.00</b> |

A check in the amount of \$ 958.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0553.

Respectfully submitted,



Lisa A. Norris  
 Attorney for Applicant(s)  
 Reg. No. 44,976

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PTO/SB/35 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Peter Szor

Title

HOST-BASED DETECTION AND PREVENTION  
OF MALICIOUS CODE PROPAGATION

Attorney Docket Number

SYMC1035

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 4, 2003

Date



Signature

(831) 655-0880

Telephone number

Lisa A. Norris, Reg. No. 44.976

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22312-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*